

Kansas City Symphony

Transfer Instructions for Contributed Stock

Wire stock, corporate bonds and other marketable securities to:

National Financial Services Corporation

DTC #0226

For credit to: Kansas City Symphony
 Account # H8P 004103
 UMB Financial Services, Inc.
 928 Grand Boulevard, 4th Floor
 Kansas City, MO 64106

Please notify Sara Lohe, KCS Chief Financial Officer, at 816.218.2610 or slohe@kcsymphony.org **prior** to wiring securities.

You may contact Francis Scheuerman at UMB Bank Investment Banking, at 816.860.1793 if you require additional information.

Transfers of mutual fund shares:

Mutual fund shares cannot be transferred via DTC.

There are 2 options for transferring mutual fund shares.

Option 1: Obtain a Transfer of Assets form from the delivering financial institution. Complete the form and send it to Sara Lohe, CFO at slohe@kcsymphony.org. She will initiate the transaction with UMB.

Option 2: Provide the following information to Sara Lohe, CFO at slohe@kcsymphony.org so she can initiate the transfer.

Delivering Firm Name

Delivering Firm Contact Name

Delivering Firm Contact Number

Delivering Firm Fax or Email

Delivering Firm Account #

Name on Delivering Account

Registration of Delivering Account

Transfer Type

You may contact Suann Einess at UMB Bank Investment Banking, at suann.einess@umb.com if you require additional information regarding mutual fund transfers.